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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Karen Cinq-Mars

2/22/02

APPLICANT: TZE-CHIANG CHEN ET AL.



FOR: DEEP TRENCH ISOLATION OF EMBEDDED DRAM FOR IMPROVED LATCH-UP IMMUNITY

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

The Commissioner of Patents
and Trademarks
Washington, D.C. 20231

Sir:

In compliance with the duty to disclose, applicants submit herewith a copy of each publication of which those designated by 37 CFR § 1.56 are aware; the publications are listed on attached form PTO-1449. The present Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other art than that identified on the attached form PTO-1449 exists.

Consideration of this Information Disclosure Statement is respectfully requested, since the Examiner may consider the information provided herein material to the patentability of the subject application as defined in 37 CFR § 1.56.

Since this Information Disclosure Statement is being filed within three months of the filing date of the subject application, no fee or certification under 37 CFR § 1.97 (e) is required.

However, in the event the Commissioner of Patents deems that any fee is required under 37 CFR §§ 1.16 and 1.17 in connection with this application, applicants' attorneys authorize that such fee be charged to Deposit Account No. 09-0458.

Respectfully submitted,

TZE-CHIANG CHEN ET AL.

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INFORMATION DISCLOSURE CITATION
 (Use several sheets if necessary)

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SERIAL NO.

TZE-CHIANG CHEN ET AL.

FILING

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
1	4,947,227	08/07/1990	Teng	357	42	09/16/1985
2	5,627,092	05/06/1997	Alsmeyer et al.	438	152	09/26/1994
3	5,770,504	06/23/1998	Brown et al.	438	296	03/17/1997
4	5,945,704	08/31/1999	Schrems et al.	257	301	06/26/1998
5	6,017,785	01/25/2000	Han et al.	428	199	08/15/1996
6	6,033,949	*03/07/2000	Baker et al.	438	232	06/30/1998
7	6,069,037	05/30/2000	Liao	438	241	11/13/1998
8	6,110,797	08/29/2000	Perry et al.	438	424	12/06/1999
9	6,137,152	*10/24/2000	Wu	257	510	04/22/1998
10	US 6,214,696 B1	04/10/2001	Wu	438	424	09/10/1999
11	US 6,228,726 B1	05/08/2001	Liaw	438	294	03/06/2000

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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